

# DEPARTMENT EXTENSIONS

Last updated: November 13, 2023

Below are extensions you can enter to reach departments when you call your dedicated service team or the Service Center. Press the “#” key twice while in the Speech Menu, and you’ll be prompted to enter the extension.

| Account Transfers                    | Extension |
|--------------------------------------|-----------|
| Account Transfers                    | 220       |
| Client Compensation                  | Extension |
| Client Compensation                  | 430       |
| Compliance & Registration            | Extension |
| Compliance                           | 701       |
| Continuing Education                 | 710       |
| Registration                         | 700       |
| Cost Basis                           | Extension |
| Cost Basis                           | 251       |
| Corporate Actions                    | Extension |
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| Corporate Actions-Proxies            | 452       |
| Corporate Actions-Reorg              | 453       |
| Corporate Actions-Restricted         | 454       |
| Direct Business                      | Extension |
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| Networking                           | 242       |
| Rep Maintenance                      | 243       |
| Estate Team                          | Extension |
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| Estate Team-IRA                      | 402       |
| Estate Team-TOD                      | 404       |
| Estate Team-Trusts and Estates       | 405       |
| Margin                               | Extension |
| Margin                               | 253       |
| Move Money                           | Extension |
| ACH                                  | 201       |
| Checks                               | 202       |
| Journal                              | 204       |
| Wire                                 | 205       |
| Third party wire-Gift or Donation    | 421       |
| Third party wire-Home Purchase       | 422       |
| Third party wire-Individual          | 423       |
| Third party wire-Outside Investment  | 424       |
| Third party wire-Purchase or Payment | 425       |
| New Accounts                         | Extension |
| Account Conversions                  | 213       |
| Account Opening                      | 214       |

| Account Restrictions                 | 215       |
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| Account Updates                      | 216       |
| Retirements                          | Extension |
| Retirements-IRA                      | 410       |
| Retirements-Employer Plans           | 411       |
| Retirements-Executive Benefits       | 1504      |
| Tech Support                         | Extension |
| Account View                         | 231       |
| ClientWorks                          | 230       |
| CRM                                  | 234       |
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| Esignature                           | 236       |
| Login                                | 233       |
| WealthVision                         | 237       |
| Trading and Managed Accounts         | Extension |
| Advisor Sleeve                       | 151       |
| ClientWorks Rebalancer               | 1502      |
| Managed Accounts                     | 150       |
| Trading-Alternative Investments      | 190       |
| Trading-Annuity AOE                  | 120       |
| Trading-Equity                       | 100       |
| Trading-Equity Corrections           | 102       |
| Trading-Equity Institutional         | 103       |
| Trading-Equity Money Manager         | 104       |
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| Trading-Fixed Income Client          | 141       |
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| Trading-Fixed Income Corporate Bonds | 143       |
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| Trading-Fixed Income Treasury   | 147 |
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