

LOAN APPLICATION

Capital Solutions



How to Complete This Application

To complete the loan application, you can either type the information directly into the PDF application forms via Adobe Reader or Adobe Acrobat, or you may choose to print the application forms and complete them by hand.

Handwritten or typewritten applications can be scanned and emailed as an attachment along with the other requested items (i.e. financial statements, tax returns, etc.).

For application forms printed in Adobe or Acrobat, you can save the PDF file and send it as an e-mail attachment; print and scan the PDF file and e-mail them. Whichever method you choose to submit the application, please include the additional documents requested to complete your application.

Loan Application Checklist

In order to complete our analysis of your loan request and structure a financing package that meets you and/or your company's needs, we need to review the information outlined below. If you have any questions about the forms or require assistance in completing them, CapitalSolutions@lplfinancial.com. After completing the application and all supporting documents have been aggregated, send your application (with all required document) to one of the Capital Solutions underwriters via email by pressing the 'submit' button on the bottom of this page.

I. Completed Loan Application

1. Completed Loan Application (enclosed).
2. Completed Personal Financial Information **for every Borrower/Business owner** on the loan application.

II. Business Financial Exhibits

1. Business Federal Tax Returns for 2 years prior, including all supporting schedules and statements.
2. Fiscal year profit & loss statements for prior 2 years.
3. Interim Business Financial Statements (Year-to-Date), current within 60 days of application date.
4. Completed Business Debt Schedule (form included in application).

III. Personal Financial Exhibits

1. Personal Financial Statement including General Information questionnaire, Asset & Liability Details, Real Estate details and personal debt included in the Debt Schedule.
A Personal Financial Statement must be completed by each general partner, each limited partner and/or any persons providing a guaranty on the loan.
2. Federal Tax Returns for 2 years prior, including all supporting schedules and statements.

IV. Authorizations & Supporting Documents

1. Completed Credit Check Authorization form; signed by each general partner, each limited partner and/or any persons providing a guaranty on the loan.
2. Completed Lien Search Authorization form with supporting documents
3. Copy of Driver's License for each borrower and co-borrower.
4. Copy of Articles of Incorporation and Operating (Shareholder) Agreement.

LPL Financial Advisor Loan Application



Directions:

Application Details

Application Information:

Full Name:	
Master Rep ID:	
Date:	

Loan Details:

Loan Amount:	
Loan Term:	
Loan Type:	

Primary Purpose of Funds

Marketing
Staffing
Operating Expenditures
Technology
Other:

Business Plan

Anticipated Results:

Please answer the questions below in the space provided.

1. What is the intended use of loan proceeds?

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2. How will the loan change or aid the growth of your business?

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3. What are the anticipated upfront costs and ongoing expenses as a result?

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4. Additional information

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LPL Financial Advisor Loan Application



Business Information

Company Name:

Federal Tax ID #:

State of Incorporation:

Address:

City:

State:

Zip Code:

Legal Entity (check one):

Sole Proprietor

Corporation

LLC

Other:

Management Team:

Name of Owner:

% of Ownership: %

Name of Owner:

% of Ownership: %

Name of Owner:

% of Ownership: %

Name of Owner:

% of Ownership: %

Additional Information:

If 'Yes' to any of the questions below, please provide details

Has the business or the principals ever filed bankruptcy?

No

Yes

Are there lawsuits pending against the business or the principals?

No

Yes

Are there liens or judgments outstanding against the business or the principals?

No

Yes

Details:

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